

# VI Annual Banking Conference Operational Risk Management

February 28, 2018 | Kiev, Ukraine  
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## About the Conference

VI Annual Banking Conference "Operational Risk Management" will take place on February 28, 2018 in Kyiv, Ukraine.

The purpose of the Conference is to present new regulatory requirements and best practices for corporate governance and internal control in Ukrainian banks, as well as the exchange of experience in managing operational risks, in particular, in banking strategies, policies and procedures, in countering cyber threats and fraud in the digital age and the use of modern information technologies in these areas.

- Presentation by the NBU on new requirements for Corporate Governance and Internal Control in banks
- Panel discussion of the Chairmen of Management Boards and members of the Bank Supervisory Boards on best practices of Corporate Governance
- Exchange of experience of leading banks on countering cyber threats and fraud and strengthening information security in the Digital Era

## Keynote Speakers



Katerina Rozhkova  
Deputy Governor  
NBU



Dominique Menu  
Head of Representation Office  
BNP Paribas in Ukraine and Kazakhstan



Olena Zhukova  
Member of Supervisory Board  
Megabank



Taras Kyrychenko  
Chairman  
Pravex Bank,  
Intesa Sanpaolo Group



Sergey Naumov  
Chairman  
Pireus MKB Bank



Igor Frantskevich,  
Chairman,  
GARP Ukraine



Oleg Pakhomov  
Board Member,  
CRO  
Bank Credit Dnepr



Dmytro Kolechko  
CRO,  
Board Member  
VP Bank (Vietnam)



Olena Dmytrieva  
Deputy Chairman  
Globus Bank



Vyacheslav Nekhoroshikh  
Head of Information Security  
PrivatBank



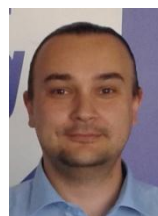
Andrey Glevatsky  
Director of The Department of Retail, Market and Operational Risks,  
Raiffeisen Bank Aval



Olexander Gorinov  
Head of Banking Security  
Kredobank



Julia Vytka  
Deputy Chief of Party USAID Project  
Financial Sector Transformation



Sergiy Lyulchenko  
Head of Information Risk Inspection and Methodology  
NBU



Igor Olekhov  
Partner  
Baker&McKen

## With participation



## Who Should Attend

- § Chairman and Members of Supervisory Board
- § Chairman and Members of Management Board
- § Chief Risk Officer
- § Chief Auditor
- § Chief Operations Officer
- § Head of Risk Management
- § Head of Operational Risk Management
- § Head of IT Security
- § Head of Security
- § Head of Internal Audit
- § Head of Corporate Business
- § Head of Retail Business
- § Head of Distribution Channels Management
- § Head of Back Office
- § Business Process Re-engineering
- § Head of HR
- § Head of Management Information System
- § Head of IT

## Feedback on the previous conferences

- *"I liked the fruitful dialogue with the regulator, familiarization with IT applications of potential vendors, almost all materials are based on real business cases. Banks openly shared their experience"* - Oksana Kolomiets, Director of the Risk Management and Methodology Department, Piraeus Bank IBC
- *"There were lively discussions on issues and problems that banks face"* - Natalia Shafran, Head of Operational and Market Risks and Reporting, Universal Bank
- *"Authoritative opinion of speakers holding leading positions in different banks"* - Evgenia Sokolova, Deputy Director, Risk Control Department, Credit Agricole Bank



## Lineup of Speakers (confirmed)

- Katerina Rozhkova, Deputy Governor, National Bank of Ukraine
- Igor Frantskevich, Chairman, GARP Ukraine
- Dominique Menu, Head of Representative Office, BNP Paribas in Ukraine and Kazakhstan, Member of the Supervisory Board, Ukrsibbank, Member of Steering Committee, GARP Ukraine
- Olena Zhukova, Member of Supervisory Board, Megabank
- Taras Kyrichenko, Chairman, Pravex Bank, Intesa Sanpaolo Group
- Sergey Naumov, Chairman of the board, Pireus Bank, Member of Steering Committee, GARP Ukraine
- Oleg Pakhomov, Board Member, CRO, Bank Credit Dnepr
- Olena Dmytrieva, Deputy Chairman, Globus Bank
- Dmytro Kolechko, CRO, Board Member, VP Bank (Vietnam)
- Julia Vytka, Deputy Chief of Party, USAID Project "Financial Sector Transformation"
- Sergiy Lyulchenko, Head of Information Risk Inspection and Methodology, National Bank of Ukraine
- Olexander Gorinov, Head of Banking Security, Kredobank
- Vyacheslav Nekhoroshikh, Head of Information Security, PrivatBank
- Andrey Glevatsky, Director Of The Department Of Retail, Market And Operational Risks, Raiffeisen Bank Aval
- Igor Olekhov, Partner, Baker&McKenzie, Co-Chair, Banking and Financial Services Committee of the American Chamber of Commerce in Ukraine

### Presentations: Corporate Governance and Internal Control in Banks of Ukraine: Challenges and Risks of Current Practice and Requirements of the EU and the Basel Committee

- Corporate Governance and Internal Control in banks: an overview of the new legislative and regulatory requirements of the NBU and tasks for Ukrainian banks  
Katerina Rozhkova, Deputy Governor, National Bank of Ukraine
- Legal aspects of corporate governance in Ukrainian banks: the existing practice and what to expect in 2018? Will the quality of corporate governance change in banks with state ownership?  
Igor Olekhov, Partner, Baker&McKenzie, Co-Chair, Banking and Financial Services Committee of the American Chamber of Commerce in Ukraine

### Panel Discussion of the Chairmen of the Management Board and Members of the Supervisory Boards of Banks: The Cases of the Best Practices of Corporate Governance and Internal Control

#### Topics for discussion:

- Inadequate corporate governance in the bank generates many operational risks, which can result in the bank's collapse. Fraud or errors in decision-making at the level of the Supervisory Board or the Management Board can not only cost the bank a life, but also threaten financial stability in the country, which we see in the example of the "bank-fall" of recent years in Ukraine.
- How does your bank use the best international practice of Corporate Governance and Internal Control to minimize such risks, in particular...
  - How does the Supervisory Board form a culture of management risk, corporate values and an ethical approach to business in your bank - and controls its adherence to it? Does your bank have a Code of Corporate Ethics? How does the Supervisory Board and the Management Board establish a risk appetite? What are the main KPIs used by the Supervisory Board for oversight of risks?
  - What role and tasks are carried out in your bank by Risk Management Committee, Compliance Committee and Audit Committee under the Supervisory Board? How are the Chief Risk Officer, Chief Auditor, Chief Compliance Officer involved in the activities of these Committees? How is the model of three lines of protection implemented in your bank?
  - How is self-assessment of individual and collective suitability of members of the Supervisory Board carried out? What training programs are used for members of the Supervisory Board in your bank (as a result of such self-assessments)?
  - What procedures in your bank are used to avoid conflicts of interest? How are transactions with related parties controlled? What system of individual and collective limits on transactions is used at the level of the Supervisory Board and the Management Board? Why independent directors are mandatory in the Supervisory Board of banks?

#### Speakers:

- Igor Frantskevich, Chairman, GARP Ukraine
- Dominique Menu, Head of Representative Office, BNP Paribas in Ukraine and Kazakhstan, Member of the Supervisory Board, Ukrsibbank, Member of Steering Committee, GARP Ukraine
- Olena Zhukova, Member of Supervisory Board, Megabank
- Taras Kyrichenko, Chairman, Pravex Bank, Intesa Sanpaolo Group
- Sergey Naumov, Chairman of the board, Pireus Bank, Member of Steering Committee, GARP Ukraine

## Operational Risks in Banking Strategies, Policies and Procedures: How Responsible and Ethical Is Our Banking Business Today?

### Topics for discussion:

- According to the results of the "mystery shopping" survey conducted in Ukraine in 2017 by the USAID Project "Transformation of the financial sector", it was found that 2/3 of the contracts of banks with private customers contain at least one unlawful condition, more than half of the contracts - at least one an unfair condition and at least one risky condition.
- So what is illegal, unfair and risky in bank contracts with customers? What strategies, policies and procedures for working with clients Head offices of banks are targeting their branches?
- What actions should the Risk Management of such a bank take in such cases? How should the Management Board and the Supervisory Board react to such cases? What measures of influence should the Regulator take in such cases?

### Speakers:

- Oleg Pakhomov, Board Member, CRO, Bank Credit Dnepr
- Olena Dmytrieva, Deputy Chairman, Globus Bank
- Julia Vytka, Deputy Chief of Party, USAID Project "Financial Sector Transformation"

## Cyber Threats, Risks of Fraud and Information Security of Banks in the Digital Age

### Topics for discussion:

- Recent trends in cybercrime - level of threat for Ukrainian banks
- Information Risk as a reflection of cyber risks and IT risks: what does it include and how to manage it?
- External fraud - how secure is your client in web / mobile applications?
- Protection against internal fraud: what do you know about your employees in the Digital Age?
- How to manage vulnerabilities of information systems? Organization, tools, roles, participants, program Bug Bounty
- What risk tools are most effective (cost / benefit) in determining a strategy to counter fraud threats?
- Can the bank cope with cyber threats on its own? How critical is it for banks to exchange real-time information about cyber threats? How can this be practically implemented? Who in the market can be an aggregator of such information? Or working there is a model of "one-to-one"?
- Changes in the regulatory framework and approaches of the regulator (including planned ones) in the sphere of information security of banks

### Speakers:

- Sergiy Lyulchenko, Head of Information Risk Inspection and Methodology, National Bank of Ukraine
- Olexander Gorinov, Head of Banking Security, Kredobank
- Vyacheslav Nekhoroshikh, Head of Information Security, PrivatBank
- Andrey Glevatsky, Director Of The Department Of Retail, Market And Operational Risks, Raiffeisen Bank Aval

## Case Study: Choosing the Best Incident Management System for Operational Risk and Counteracting Fraud

- Dmytro Kolechko, CRO, Board Member, VP Bank (Vietnam)

*The Program is subject to change*

## How to attend

Conference venue: Rus hotel (Kyiv, Hospitalna St., 4)

Working language of Conference – Ukrainian or Russian. The translator will be provided for English-language presentations.

Fee of participation of one representative in the Conference:

	Conference (February 28, 2018)
for residents of Ukraine (UAH)	8600
for non-residents of Ukraine (EUR)	300

The prices include materials, lunch, coffee breaks, and cocktail reception.

Discounts for participation in the Conference:

For members of GARP and UUPN	5%
For regular customers	5%

Discounts are summed up.

For registration please send your registration request at e-mail [office@extra-consulting.net](mailto:office@extra-consulting.net) stating the names of the delegates, their positions, your organization and contact details.

For marketing opportunities, please call +380 67 444 63 62 or e-mail: [marketing@extra-consulting.net](mailto:marketing@extra-consulting.net)

The updated Conference agenda is posted at [www.extra-consulting.net](http://www.extra-consulting.net)

If you have any questions, please call us at +380 44 227 81 73 or e-mail [office@extra-consulting.net](mailto:office@extra-consulting.net).

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## About the organizer

**EXTRA**  
consulting

Extra Consulting Ltd ([www.extra-consulting.net](http://www.extra-consulting.net)) is a leading banking consulting and training provider in Ukraine. The company's Mission is to advise Ukrainian and CIS banks on the world best practice of management and advanced information technologies to improve their efficiency. This shall be achieved through the combined expertise of a network of international and local consultants. The Company has 17 year successful experience in delivering professional conferences and training – with Risk Management as one of the major topics. The Company initiated an establishment of the GARP presence in Ukraine in 2009 by taking part in setting up the GARP's Regional Chapter and in a successful launch of the FRM Certification. The company's experts successfully accomplished several consulting projects in Ukraine, countries of the Western Balkans, eastern Partnership, Kyrgyz Republic, Tajikistan, and Russia.

More information on the website [www.extra-consulting.net](http://www.extra-consulting.net) or call +380 44 227 81 73

REGISTRATION FORM  
ON PARTICIPATION IN

**VI Annual Banking Conference**  
**Operational Risk Management:**  
February 28, 2018  
Kyiv, Ukraine

Please register the following representatives of our organization

(please, note the choice in [ ] by using  or X:)

FULL NAME Position Phone e-mail Member of UUPN Member of GARP	<input type="checkbox"/> yes <input type="checkbox"/> yes GARP ID _____
FULL NAME Position Phone e-mail Member of UUPN Member of GARP	<input type="checkbox"/> yes <input type="checkbox"/> yes GARP ID _____

Total cost \_\_\_\_\_

Name of the organization: \_\_\_\_\_

Address: \_\_\_\_\_

Contact person: \_\_\_\_\_

Contact phone: (\_\_\_\_) \_\_\_\_\_

Contact E-mail: \_\_\_\_\_

Please, send the filled-in form by  
e-mail [office@extra-consulting.net](mailto:office@extra-consulting.net)